

05-07-04

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/656,479	
	Filing Date	September 4, 2003	
	First Named Inventor	Helitzer, et al	
	Art Unit	3626	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	95	Attorney Docket Number	HTFD-A-JRC

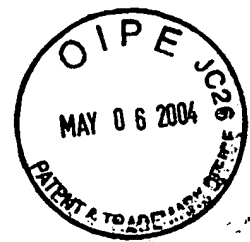
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Statement of the Substance of the Interview to Final Office Action
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Preliminary Amendment	
	Patent: A System for Reducing the Risk Associated with an Insured Building Structure through the Incorporation of Selected Technologies.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Joseph R. Carvalko, Jr. Duane Morris LLP
Signature	
Date	May 6, 2004

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail No. EV 407559435 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	Joseph R. Carvalko, Jr. Duane Morris LLP
Signature	Date 5-6-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



EV407559435US

Express Mail Label No.

Attorney Docket No. HTFD-A-JRC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: Helitzer, et al

Serial No.: 10/656,479

Group Art Unit: 3626

Filed: September 4, 2003

Examiner: Unassigned

For: A System For Reducing the Risk Associated With an Insured Building Structure Through the Incorporation of Selected Technologies.

PRELIMINARY AMENDMENT

Mail Stop Non Fee
Commissioner For Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir/Madam:

Preliminary to the issuance of a first Office Action in accordance with 37 C.F.R. 1.115 and 37 C.F.R. 1.125, Applicant herewith submits a substitute specification and abstract. Remarks begin on page 8.

IN THE SPECIFICATION

See attached version of substitute specification showing changes and a clean copy Substitute Specification (changes entered).

IN THE ABSTRACT:

See attached version of substitute Abstract showing changes and a clean copy (changes entered).

IN THE CLAIMS:

The claims have not been amended and are attached as follows: